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PA 3192174 vl

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NOV 1 7 2003

OFFICE OF PETITIONS

Title of Document:

Transmittal [missing parts]; 2 Fee Transmittal; Supplemental ADS; 2 Petition for Extension of Time; Declaration/Power of Attorney; copy

Notice; 2 Recordation Form Cover Sheet; Assignment

Date Due:

December 25, 2001

Appln. No.:

09/930,806

Date Mailed:

December 26, 2001

File No.:

16869N-031100

Atty/Sec.:

RCC:mks

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PTO/SB/21 (08-03)

1	IPE									
				Applic	ation Number	09/930,806				
וע	TRANSMITTAL FORM			Filing	Date	August 15, 2001				
"				First N	lamed Inventor	Chujo, Norio RECEIVED				
\sim	(to be used for all correspondence after initial filing)			Art Un	it	NOV 1 7 2003				
g	5 Ar Enière				ner Name					
İ	Total Number of Pages in This Submission				ey Docket Number	16869N-031100US				
ŗ			ENC	LOSURE	S (Check all that apply)				
	Fee Transmittal Form Drawing			g(s)	-	After Allowance Communication to Group				
	Fee Attach	ed	Licensi	ing-relate	ed Papers	Appeal Communication to Board of Appeals and Interferences				
	Amendment/Rep	ply	Petition	า		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
	After Final			n to Conv onal App		Proprietary Information				
	Power				ey, Revocation espondence Address	Status Letter				
	Extension of Time Request Request Request			al Discla	imer	Other Enclosure(s) (please identify below):				
				st for Ref		COPY Return Postcard COPY Missing Parts paperwork: transmittal, fee transmittal, extension, suppl. ADS, Decl./Power of Atty, Missing Parts notice Copy Abandonment Notice Return Postcard				
				rks	The Commissioner is a Account 20-1430.	authorized to charge any additional fees to Deposit				
	Response to Missing Parts/ Incomplete Application				I	·				
		to Missing Parts FR 1.52 or 1.53								
ŀ		SIGI	NATURE O	F APPL	ICANT, ATTORNEY,	OR AGENT				
ľ	Firm	Townsend and T		nd Crev						
	or Individual Robert C. Colwell Reg. No. 27,431									
	Signature / Cohel									
	Date November 10, 2003									
7			CERTIFIC	ATE OF	TRANSMISSION/M/	AILING				
Į,	hereby certify that this co as first class mail in an en	orrespondence is being velope addressed to: Co	facsimile trans ommissioner fo	mitted to t or Patents	he USPTO or deposited with P.O. Box 1450, Alexandria	n the United States Postal Service with sufficient postage , VA 22313-1450 on the date shown below.				
-	Typed or printed name Margaret K. Stephan									
	Signature Date November 10, 2003									

60078570 v1



Please type a plus sign (+) inside this box -> [+]

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

OIPE	TTAI	Application Number		09/930,806							
TRANSMI		Filing	Date	August 15, 2001							
0V 1 3 2003 🖏 FORM	1	First N	amed Inventor	Chujo, Norio							
(to be used forfall corresponde	nce after initial filing)	Group Art Unit									
Angeri Bay Civ		Exami	ner Name								
Total Number of Pages in This S	Submission	Attorne	ey Docket Number	16869N-031100US							
	ENCLOSURES (check all that apply)										
Fee Transmittal Form		ment Pap		After Allowance Communication to Group							
Fee Attached	☐ Drawii	☐ Drawing(s)			Appeal Communication to Board of Appeals and Interferences						
Amendment / Response	Licens	Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)						
After Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition Petition to Convert to a Provisional Application			Proprietary Information						
Affidavits/declaration(s	, — — — — — — — — — — — — — — — — — — —				Status Letter						
Extension of Time Reques	Power Change	Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):						
Express Abandonment Re		nal Discla	mer	1. Supplemental ADS							
	Requi	Request for Refund			2. Declaration/Power of Attorney						
Information Disclosure Sta	tement CD, N	CD, Number of CD(s)			Postcard						
Certified Copy of Priority Document(s)	Rem	Remarks The Commissioner is Deposit Account 20-14			charge any additional fees to						
Response to Missing Parts Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Pursuant Septemb	Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated September 25, 2001, the enclosures listed on this sheet are to be made of record in above-identified case.									
	SIGNATURE OF	APPLIC	ANT, ATTORNEY, O	R AGENT							
and	and larges M. Heslin Reg. No. 29 541										
Signature											
Date December 26, 2001											
	С	ERTIFIC	ATE OF MAILING								
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: December 26, 2001											
Typed or printed name Margaret K. Stephan											
Signature	Nargans	->	Stiphe	Date	December 26, 2001						

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are redired to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3192167 v1



PTO/SB/17 (09-00)
Application use through 10/31/2002. OMB 0651-0032
Patent and Trademum Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL

for FY 2001

Figure 1 3 2003

Figure 1 fees are subject to annual revision.

FEE TRANSMITTAL

Complete If Known

Application Number 09/930,806

Filing Date August 15, 2001

First Named Inventor Chujo, Norio

Examiner Name

Group Art Unit

<i>'U</i>					Group Art Grat						
TOTAL AMOUNT OF PAYMENT (\$) 280					Attorney Docket No.			16869N-031100US			
~Am.	METHO	D OF PAYMENT	<u>. </u>				FEE C	ALCULATION (continued)			
The Commissioner is hereby authorized to charge					3. ADDITIONAL FEES						
1. W	indicated fees and credit any over payments to:			Large	Entity	Small	Entity				
				Fee	Fee	Fee	Fee	Fee Description	Fee		
Deposit Account	20-1430	•		Code	(\$)	Code	(\$)	`	Paid		
Number	20 1400		1	105 127	130	205	65	Surcharge - late filing fee or oath	130		
Denosit	Deposit				50	227	25	Surcharge - late provisional filing fee or cover sheet.			
Account Townsend and Townsend and Crew LLP		139	130	139	130	Non-English specification					
			147	2,520	147	2,520	For filing a request for reexamination				
Charge Ar Under 37		112	920*	112	920*	Requesting publication of SIR prior to Examiner action					
	daims small ent FR 1.27	ity status.		113	1,840°	113	1,840*	Requesting publication of SIR after Examiner action			
2. Payme	ent Enclosed:			115	110	215	55	Extension for reply within first month	110		
☐ Check ☐ Credit card ☐ Money ☐ Other					400	216	200	Extension for reply within second month			
		Order		117	920	217	460	Extension for reply within third month			
FEE CALCULATION 1. BASIC FILING FEE					1,440	218	720	Extension for reply within fourth month			
1. BASIC FI				128	1,960	228	980	Extension for reply within fifth month			
Fee Fee	Fee Fee	Fee Description		119	320	219	160	Notice of Appeal			
Code (\$)	Code (\$)	r cc bescription	Fee Paid	120	320	220	160	Filing a brief in support of an appeal			
101 740	201 370	Utility filing fee		121	280	221	140	Request for oral hearing			
106 330 107 510	206 165 207 255	Design filing fee Plant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding			
108 740	208 370	Reissue filing fee		140	110	240	55	Petition to revive – unavoidable			
114 160	214 80	Provisional filing fee		141	1,280	241	640	Petition to revive - unintentional			
114 100	2.14	, vovidiorial taming too	L	142	1,280	242	640	Utility issue fee (or reissue)			
SUBTOTAL (1) (\$)					460	243	230	Design issue fee			
				144	620	244	310	Plant issue fee			
2. EXTRA CLAI	M FEES	Francisco Francisco	Faa	122	130	122	130	Petitions to the Commissioner			
Extra Fee from Fee Claims below Paid					50	123	50	Petitions related to provisional applications			
Total Claims20** = X = Independent -3** =				126	180	126	180	Submission of Information Disclosure Strnt			
Aultiple Dependent		×] = 🗀	581	40	581	40	Recording each patent assignment per property (times number of properties)	40		
Large Entity	y Small Ent Fee Fee	•		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
Code (\$)	Code (\$)		of 20	149	740	249	370	For each additional Invention to be examined (37 CFR § 1.129(b))			
103 18 102 84	203 9 202 42							, , , , , , , , , , , , , , , , , , , ,			
102 84	202 42		nt claim, if not paid	179	740	279	370	Request for Continued Examination (RCE)	1		
109 84	209 42		ndent claims over	169	900	169	900	Request for expedited examination of a design application			
110 18	210 9	- '	in excess of 20 an		Other fee (specify)						
SUBTOTAL (2) (\$)					The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.						
		<u> </u>	 	*Redu	iced by B	lasic Fili	ng Fee F	Paid SUBTOTAL (3) (\$)280)		
**or number previ	ously paid, if great	er; For Reissues, see ab	ove	1			_				

SUBMITTED BY Complete (if applicable)									
Name (Print/Type)	James M. Heslin	Registration No. (Attorney/Agent)	29,541	Telephone	650-326-2400				
Signature	M			Date	December 26, 2001				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3192169 v1





United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspfo.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/930,806

08/15/2001

Norio Chujo

16869N-031100US

CONFIRMATION NO. 3578

20350 TOWNSEND AND TOWNSEND AND CREW

TWO EMBARCADERO CENTER EIGHTH FLOOR

SAN FRANCISCO, CA 94111-3834

NOV 1 3 2003 20

FORMALITIES LETTER
-00000000006789793*

Date Mailed: 09/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION CEIVED

FILED UNDER 37 CFR 1.53(b)

NOV 17 2003

Filing Date Granted

OFFICE OF PETITIONS

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE









United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Doz 1450 Alexandria, Viginia 22313-1450 www.uspiu.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

09/930.806

08/15/2001

Norio Chujo

16869N-031100US

20350

TOWNSEND AND TOWNSEND AND CREW, I

TWO EMBARCADERO CENTER

EIGHTH FLOOR

SAN FRANCISCO, CA 94111-3834



CONFIRMATION NO. 3578 ABANDONMENT/TERMINATION LETTER

OC000000011020699*

Date Mailed: 10/10/2003

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 09/25/2001.

No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of UNAVOIDABLE DELAY must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(I); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the aboveidentified Notice: (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282.Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE